

ASTRAZENECA GLOBAL POLICY SAFETY, HEALTH AND ENVIRONMENT (SHE)

1. PURPOSE

This Policy sets forth AstraZeneca's commitment to maintaining a safe and healthy workplace for all our people and operating in an environmentally responsible and sustainable manner.

2. AUDIENCE AND SCOPE

Everyone has a responsibility to integrate safety, health and environmental considerations into their daily work activities. This Policy, together with supporting Global Standards and Procedures, is applicable to all employees and temporary staff across AstraZeneca and its affiliates.

3. POLICY STATEMENTS

3.1. Use effective SHE management systems to identify and manage risk and maintain strong SHE performance.

- 3.1.1. Sites and marketing companies must ensure that risks are visible, understood and effectively managed. Risk registers must be reviewed at least annually.
- 3.1.2. Adequate SHE resources must be maintained to identify and manage SHE risks and meet AstraZeneca and regulatory requirements.
- 3.1.3. All sites and marketing companies must establish, implement, and maintain an effective and reliable SHE management system.
- 3.1.4. All SHE incidents or events must be reported through established procedures.

3.2. Maintain a workplace that is safe and healthy for all staff and visitors.

- 3.2.1. Workplace health and safety controls must be in place to meet the requirements of this Policy and regulatory requirements.
- 3.2.2. Training and awareness programmes must be in place to ensure that employees, third parties and visitors are aware of SHE risks associated with business activities.
- 3.2.3. All workplaces must be built and maintained in a manner that is protective of the health and safety of people.

3.3. Minimize our reliance on natural resources and promote environmental sustainability.

- 3.3.1. All areas of the business must be managed to minimise adverse effects on the environment by, at a minimum, working to reduce the consumption of natural resources.
- 3.3.2. Environmental impact must be assessed for all capital projects.
- 3.3.3. Environmental risks associated with developing, manufacturing, using and disposing of products must be effectively managed.

3.4. Maintain compliance, learn from incidents and promote continuous improvement.

- 3.4.1. All known or suspected breaches of this Policy, SHE Standards or regulatory requirements must be reported as required through established procedures.
- 3.4.2. Serious accidents and/or SHE events must be investigated by competent and trained individuals.

- 3.4.3. A Company-wide audit programme must be maintained to ensure that the requirements of this Policy and supporting standards are being met.
- 3.4.4. Local sites and marketing companies must implement audit and inspection programmes that monitor compliance with local laws and regulations and confirm the effectiveness of SHE management systems. Processes must be in place to ensure effective and timely mitigation of findings.
- 3.4.5. Annually, local sites and marketing companies must complete a review of the effectiveness of local SHE management systems and use the outcome as the basis for an improvement plan.

3.5. Ensure our suppliers and other third parties have reliable SHE systems in place and embrace SHE standards that are consistent with our own.

- 3.5.1. Risk-based due diligence must take place to ensure our third parties understand and comply with our SHE performance expectations.
- 3.5.2. SHE performance expectations must be established for our suppliers including the requirement to report serious SHE incidents.

4. RESPONSIBILITIES

AstraZeneca PLC Board of Directors

- Establishing the company's long-term strategic objectives for SHE performance and continuous improvement.

Chief Executive Officer

- Ensuring the Company's SHE performance.

Chief Compliance Officer, through the VP, Global Safety, Health and Environment

- Recommending and supporting implementation of the strategic objectives.
- Periodically reporting performance to both SET and Board.
- Maintaining an effective central support organization and ensuring that adequate resources are available across the company to support compliance with this Policy.

Senior Executive Team Members

- Ensuring functional compliance with the SHE Policy, including delivery of SHE performance improvement targets.

Site Leadership

- Ensuring site compliance with this Policy and managing risks arising from the facility (physical asset) and business activities. Where more than one function is operating on a site, the Site Leader is responsible for coordinating SHE Policy compliance activities, including emergency response management and improvement initiatives, with functional line management.
- Ensuring that adequate SHE support resources are available for the site.

Managers and Employees

- Complying with the elements of this Policy applicable to their work.
- Maintaining a workplace that is safe, healthy and protective of the environment.